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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)  
454.00

**Complete if Known**

Application Number	09/680,345
Filing Date	10/05/2000
First Named Inventor	Baarmann, et al
Examiner Name	Cuevas, Pedro J.
Group Art Unit	2834
Attorney Docket No.	3086/1230 (BH 2068)

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																					
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 23-1925</p> <p>Deposit Account Name: Brinks Hofer Gilson &amp; Lione</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105 130</td> <td>205 65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127 50</td> <td>227 25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139 130</td> <td>139 130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147 2,520</td> <td>147 2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113 1,840*</td> <td>113 1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115 110</td> <td>215 55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116 400</td> <td>216 200</td> <td>Extension for reply within second month</td> <td>400.00</td> </tr> <tr> <td>117 920</td> <td>217 460</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118 1,440</td> <td>218 720</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128 1,960</td> <td>228 980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119 320</td> <td>219 160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120 320</td> <td>220 160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121 280</td> <td>221 140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138 1,510</td> <td>138 1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140 110</td> <td>240 55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141 1,280</td> <td>241 640</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142 1,280</td> <td>242 640</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143 460</td> <td>243 230</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144 620</td> <td>244 310</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122 130</td> <td>122 130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123 50</td> <td>123 50</td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>126 180</td> <td>126 180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581 40</td> <td>581 40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146 740</td> <td>246 370</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149 740</td> <td>249 370</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179 740</td> <td>279 370</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169 900</td> <td>169 900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> </tbody> </table> <p>Other fee (specify):</p>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 400	216 200	Extension for reply within second month	400.00	117 920	217 460	Extension for reply within third month		118 1,440	218 720	Extension for reply within fourth month		128 1,960	228 980	Extension for reply within fifth month		119 320	219 160	Notice of Appeal		120 320	220 160	Filing a brief in support of an appeal		121 280	221 140	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,280	241 640	Petition to revive - unintentional		142 1,280	242 640	Utility issue fee (or reissue)		143 460	243 230	Design issue fee		144 620	244 310	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Processing fee under 37 CFR 1.17(q)		126 180	126 180	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))		149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))		179 740	279 370	Request for Continued Examination (RCE)		169 900	169 900	Request for expedited examination of a design application	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Sanders N. Hillis	Registration No. (Attorney/Agent)	45,712
Signature	<i>[Signature]</i>	Telephone	317-636-0886
		Date	JUNE 17, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: The Commissioner for Patents, Washington, D.C. 20231 on June 17, 2002.

Agency: Sanders N. Hillis, Atty. Reg. No. 45,712

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#9/Ext. ② w/ a

Hawkins

7-23-02



**PATENT**

**Case No.: 3086/1230 (BH 2068)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )

David W. Baarman, et al. )

Serial No.: 09/680,345 )

Filed: October 5, 2000 )

For: HYDRO-POWER GENERATION )  
FOR A WATER TREATMENT SYSTEM )

Group Art Unit: 2834

Examiner Pedro J. Cuevas

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**REQUEST FOR TWO MONTH EXTENSION OF TIME  
AND RESPONSE TO FIRST OFFICE ACTION**

The Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Office action mailed February 6, 2002, please enter the following amendments and consider the following remarks. A check in the amount of \$454.00 for three additional dependent claims and two-month extension of time is enclosed. No additional fees are believed to be required at this time. However, should any additional fees be deemed necessary, please charge such fees to Deposit Account No. 23-1925.

**In the Claims:**

Please cancel claims 2, 30-31 and 44, amend claims 1, 3, 14-16, 19, 25, 28-29, 38, 41-42 and 51, and add new claims 53-59.

06/27/2002 SSESHE1 00000105 09680345

01 FC:103  
02 FC:116

54.00 OP  
400.00 OP